

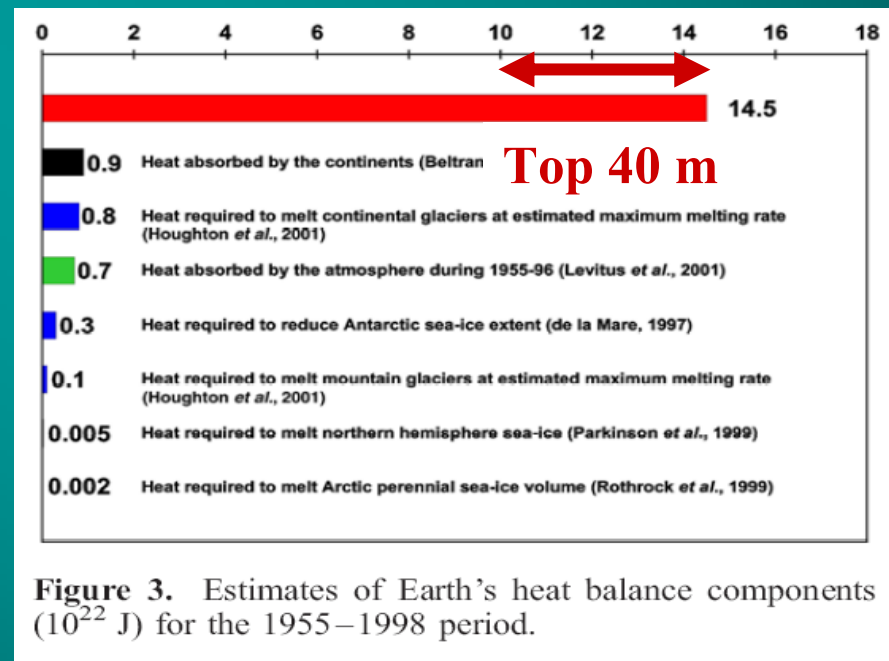
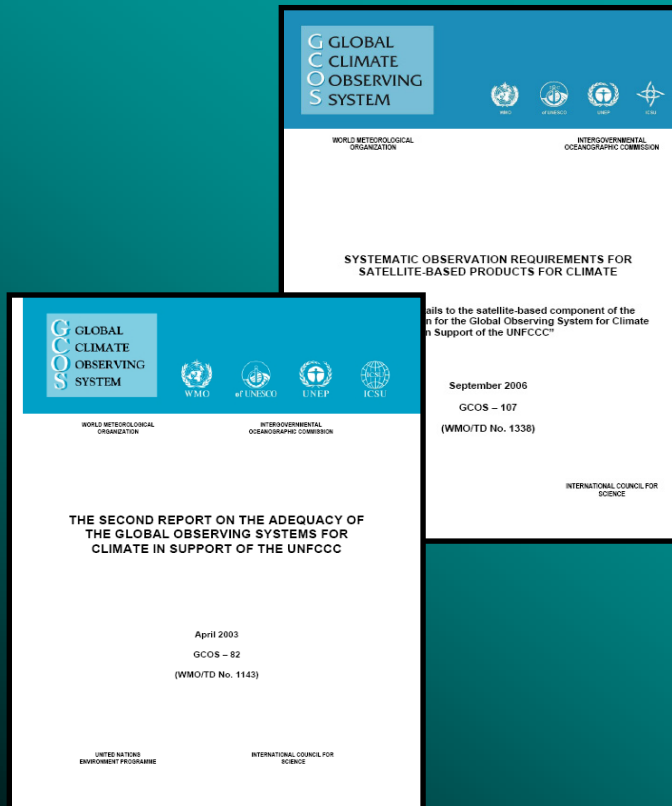
# Sea Surface Temperature as an Essential Climate Variable



Chris Merchant  
The University of Edinburgh

# Essential Climate Variables

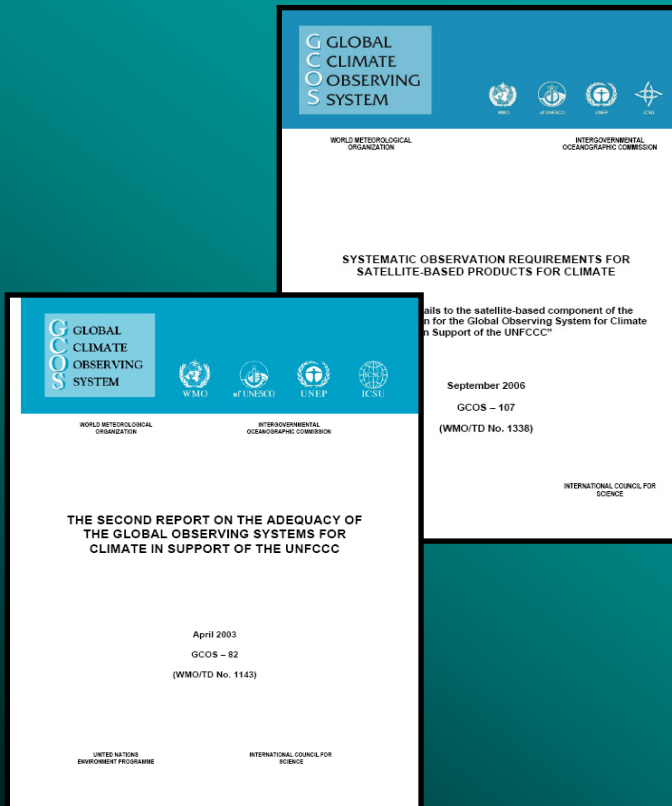
- SST is one of these 44 + 6 parameters
- Identified as essential to support UNFCCC



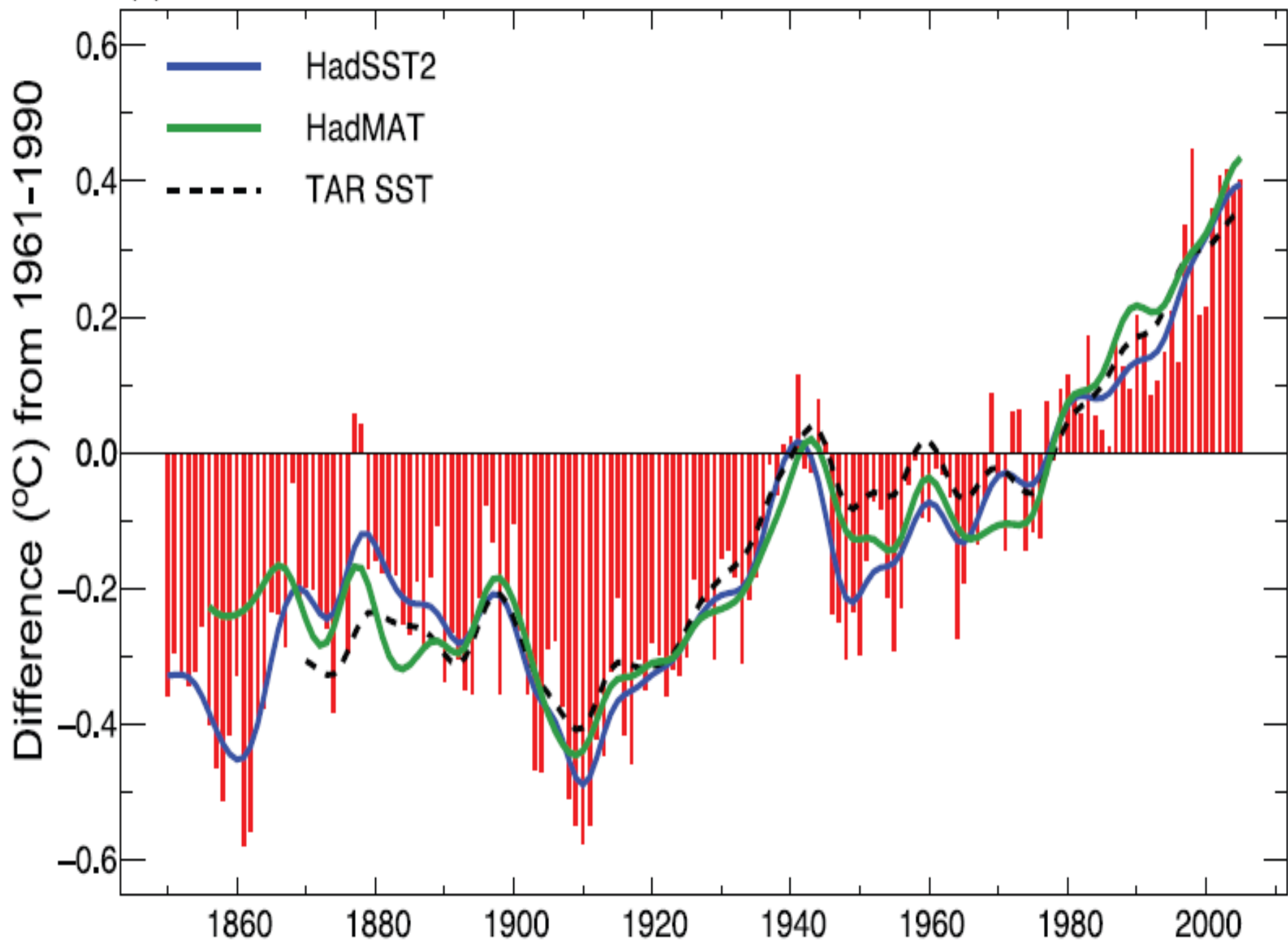
# Fundamental Climate Data Records and Products

*“long term data record ...overlaps and calibrations sufficient to allow homogeneous products ... accurate and stable enough for climate monitoring”*

*“some products should be based on independent FCDRs”*

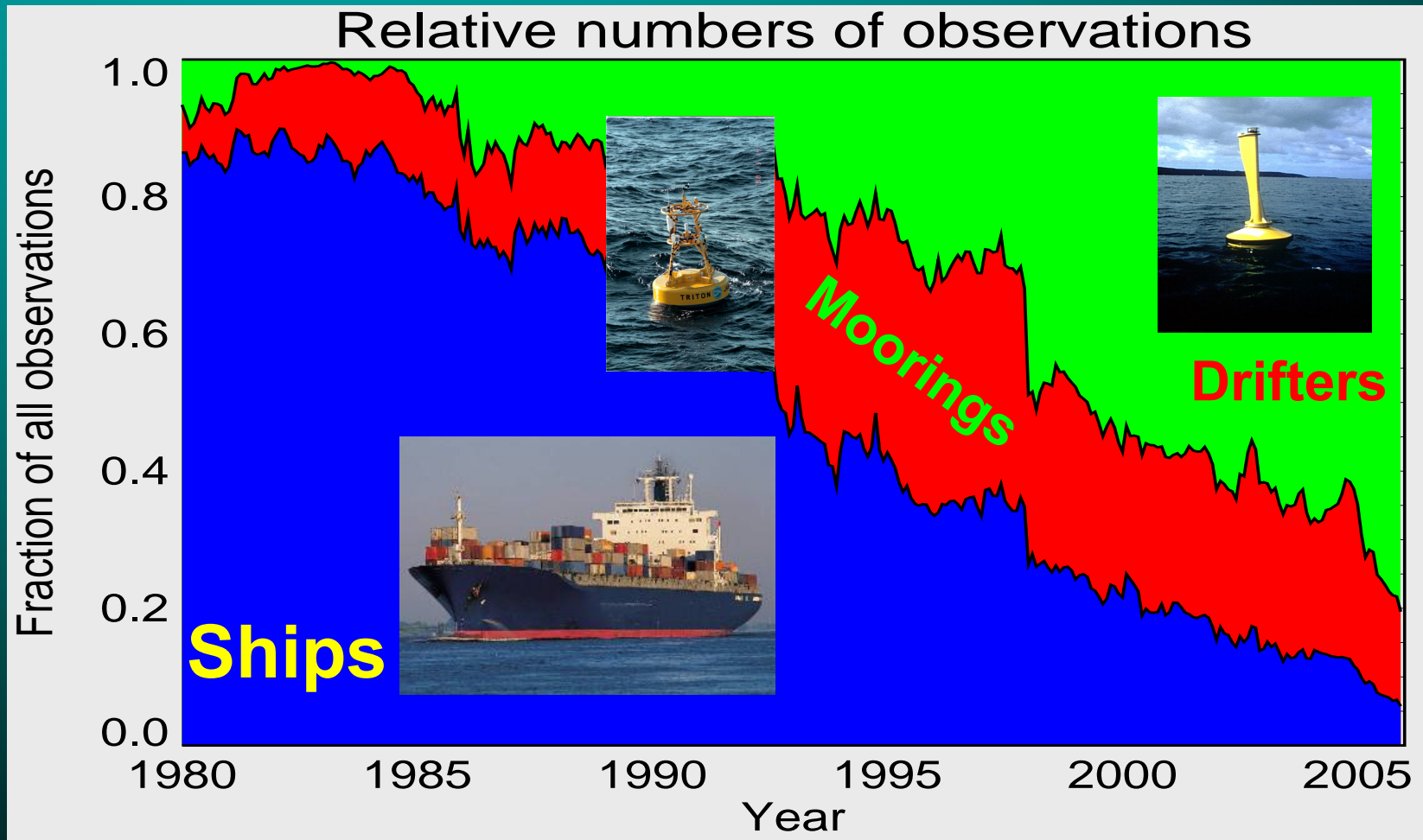


(a) Globe



# Maximum impact objective for SST-CDR

Assess ocean temperature changes independently of current marine CDR.



# Sea Surface Temperature as an Essential Climate Variable

## Standards for SST-CDR

How to get there?

Advanced techniques.

Reprocessibility.

Potential threats.

A system of sensors: resilience.

# Standards for SST-ECV

# To meet the ECV challenge ...

## UTILITY TO UNFCCC / CLIMATE SCIENCE

The extent to which accuracy remains constant in time.

Homogeneity  
Independence  
Error characterisation

} of SSTs

---

Excellent sensors, characterised, calibrated



# Example: (A)RC targets

1. Independent record of  $\geq 15$  years of SSTs
2. 5 km radiometric (skin) and foundation (bulk) SSTs
3. Biases  $< 0.1$  K, regionally
4. Target stability  $\leq 0.05$  K decade<sup>-1</sup>, regionally
  - i. Discontinuities understood & removed
  - ii. Consistency between sensors  $\ll 0.1$  K
5. Comprehensive error characterization:
  - i. Retrieval errors (random and systematic, particularly trends)
  - ii. Methodological artefacts (channels used, cloud screening)



# How to get there? Advanced techniques

# Generations of SST retrieval techniques

## Retrieval

Empirical regression to buoys



Regression to RT modelling



Optimal estimation of SST & TCWV



## Cloud detection

Empirical screening thresholds



Fixed RT screening thresholds



Probabilistic / dynamic RT



*Joint optimal estimation of SST-TCWV-cloud-aerosol?*

# Generations of SST retrieval techniques

Retrieval

Empirical  
regression to buoys

**No independence**



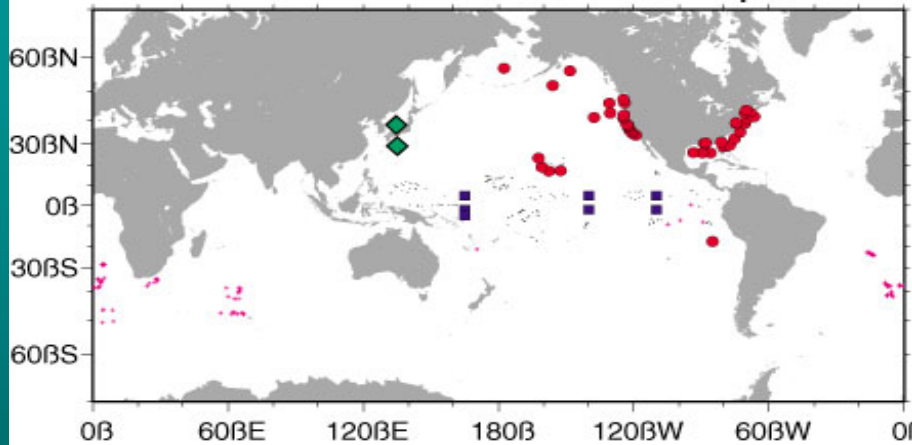
“The objective of the AVHRR Oceans Pathfinder is to develop a long and consistent time series of global sea surface temperature (SST) fields.”

<http://www.rsmas.miami.edu/groups/rrsl/pathfinder/index.html>

>20 year record, widely used

# Pathfinder SST tied to *in situ* record

NOAA-11 1988 Matchups

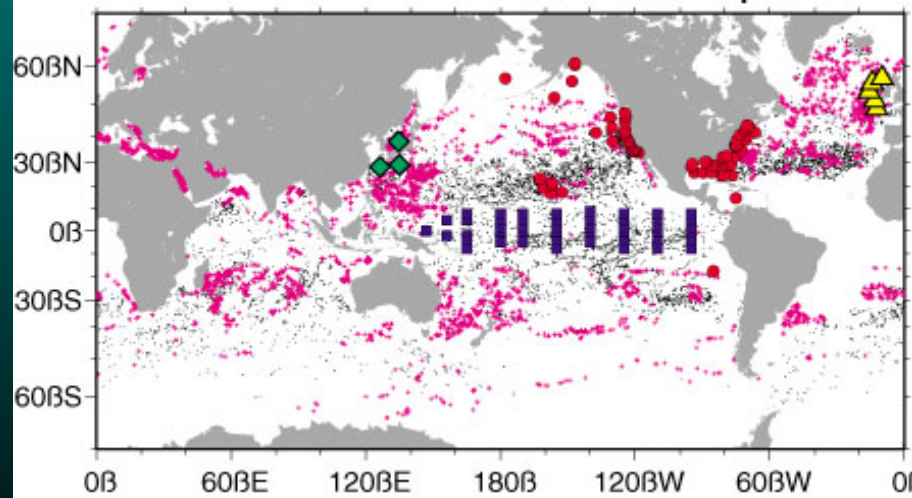


AVHRR SSTs use empirical regression to buoy matches to define retrieval coefficients.

*To some degree*, this “calibrates” the SST retrieval.

Retrieval is best where matches are and of unassessed quality elsewhere.

NOAA-14 1995 Matchups



Geographical distribution changes have (unassessed) implications for consistency and stability.

**No independence from in situ.**

# Generations of SST retrieval techniques

Retrieval

Empirical  
regression to buoys



Regression to RT  
modelling

**No independence**

**Apparent independence –  
but not really**

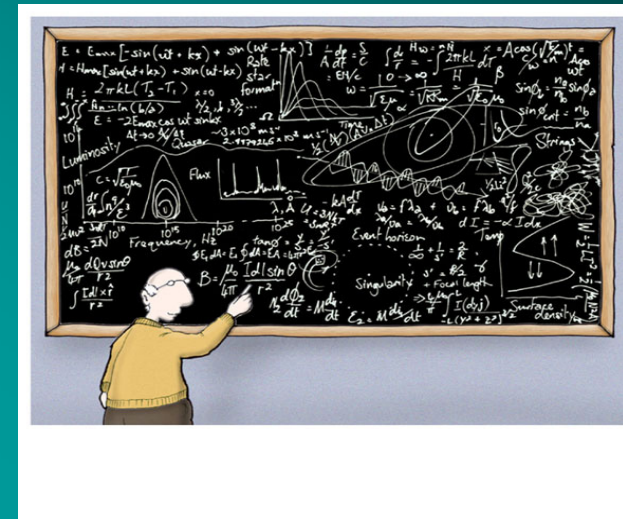
# “Second generation” not tied to *in situ* record

Retrieval based on radiative transfer (physics)

Spectroscopy of trace gases, aerosol  
Water vapour continuum absorption  
Water surface emissivity  
Instrumental characterisation

... a lot of hard work

Apparently “independent”





# Local (mis)behaviour

## Algorithm

$$\hat{x} = a_0 + a_1 S + (a_2 + a_3 S)y_{11} + (a_4 + a_5 S)y_{12}$$

## Sensitivity to water vapour, $w$

$$\frac{\partial \hat{x}}{\partial w} = (a_2 + a_3 S) \frac{\partial y_{11}}{\partial w} + (a_4 + a_5 S) \frac{\partial y_{12}}{\partial w}$$

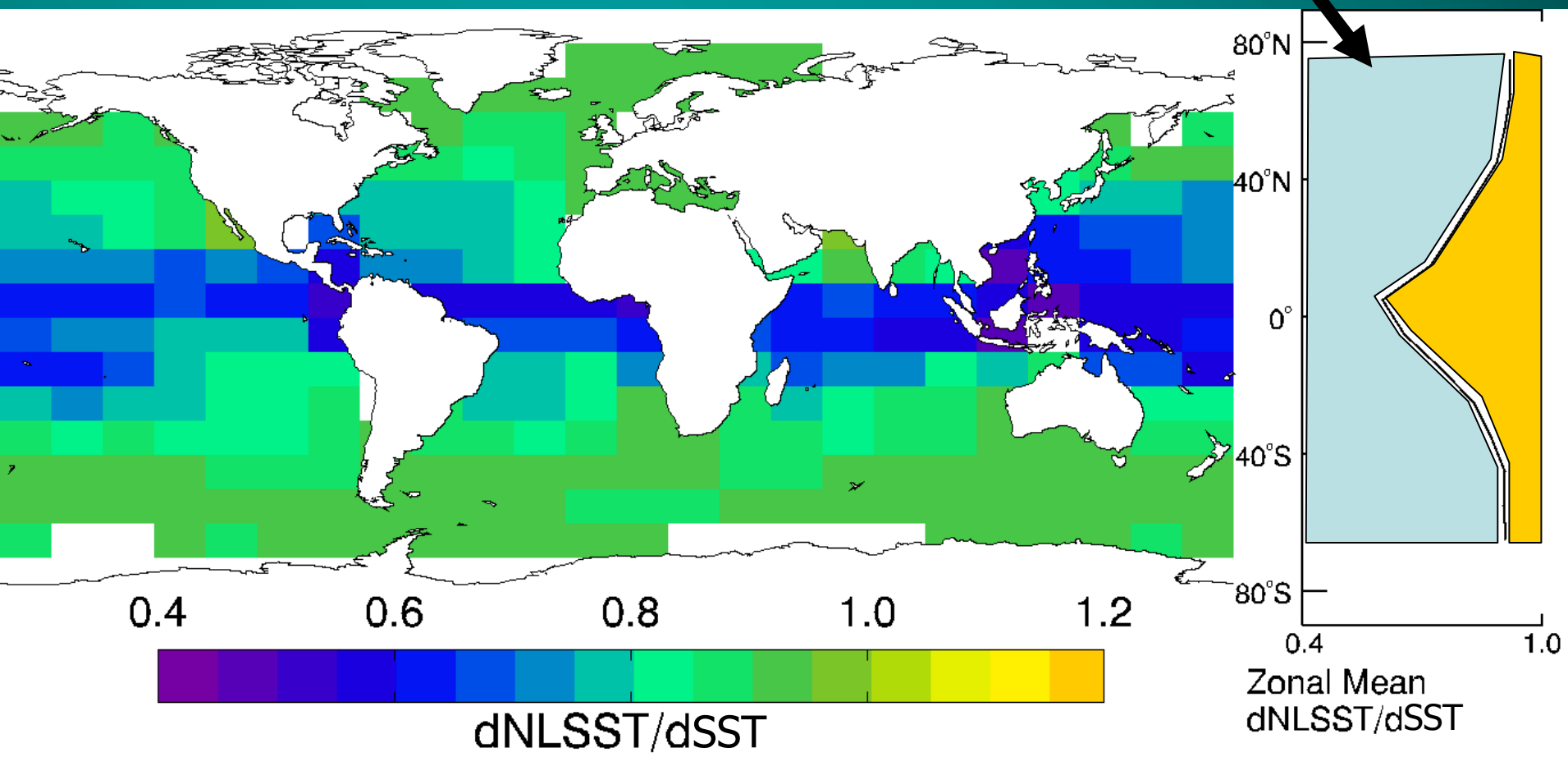
## Sensitivity to true SST, $x$

$$\frac{\partial \hat{x}}{\partial x} = (a_2 + a_3 S) \frac{\partial y_{11}}{\partial x} + (a_4 + a_5 S) \frac{\partial y_{12}}{\partial x}$$

# But still has hidden dependence

From “prior error” – should not be in an “independent” CDR.

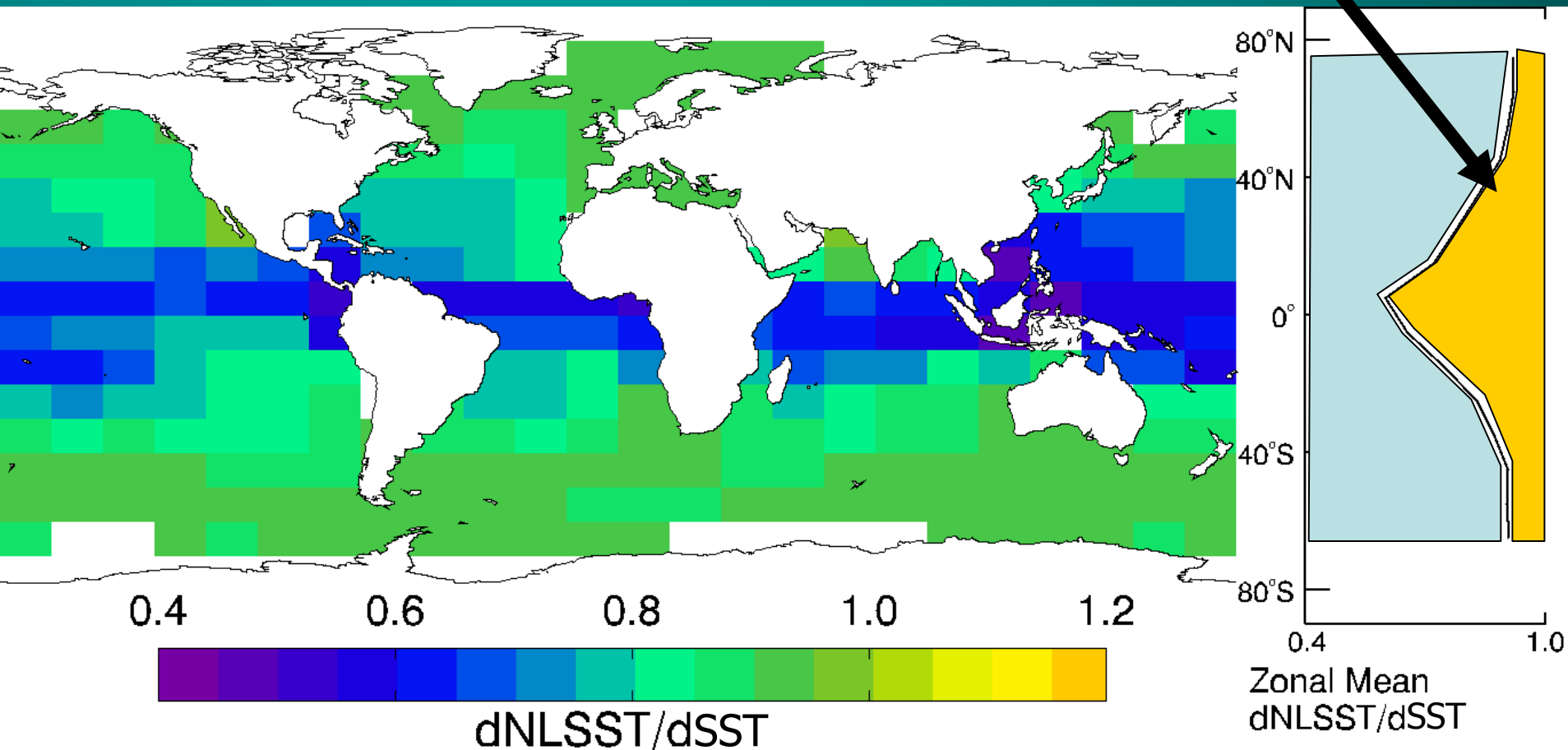
This proportion is information provided by the satellite observations



# But still has hidden dependence

From “prior error” – should not be in an “independent” CDR.

This proportion is independent of the actual SST



# Generations of SST retrieval techniques

Retrieval

Empirical  
regression to buoys



Regression to RT  
modelling



Optimal estimation  
of SST & TCWV

**No independence**

**Apparent independence –  
but not really**

**Can be truly independent\* -  
maximum likelihood**

**(\*but not single view 11/12 um)**

# Generations of SST retrieval techniques

- First: empirical regression to buoys
  - Operational stalwart from ~1984 to present
  - Pathfinder re-analysis
- Second: regression to physical modelling
  - ATSR, but limited success prior to ~1998
  - Meteo-France and NOAA geo's since ~2000
  - (A)RC & Sentinel-3 ATBD [ongoing]
- Third: joint optimal estimation of SST & WV
  - (A)RC [ongoing]
  - Coming soon: Meteo-France and NOAA geo's
- Fourth: joint OE of SST-WV-cloud-aerosol?

From Medspiration / GHRSSST ...

**OPERATIONALITY**

**Ugly word**

**Timely dissemination**

**Common standards**

**Reliability**

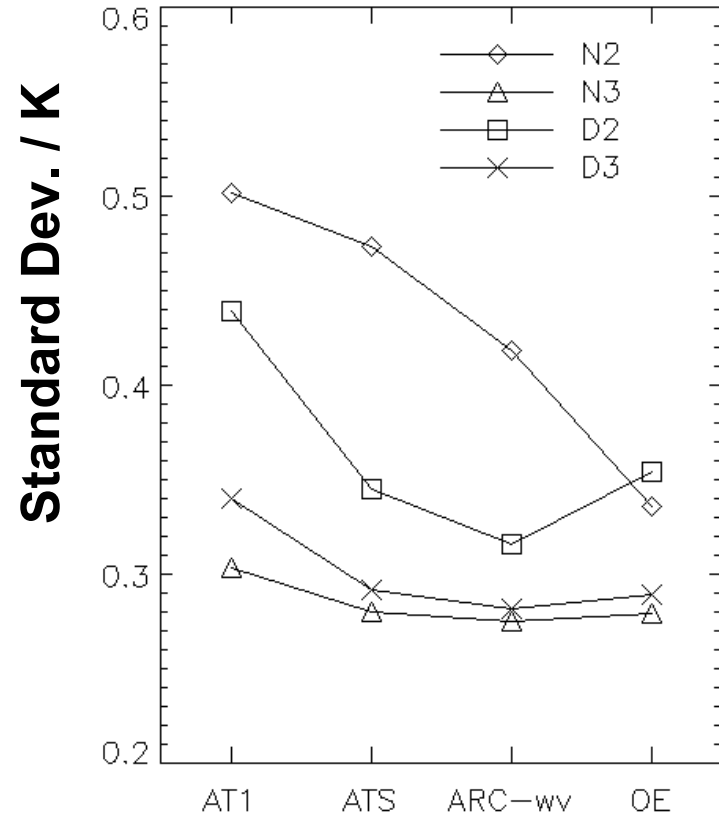
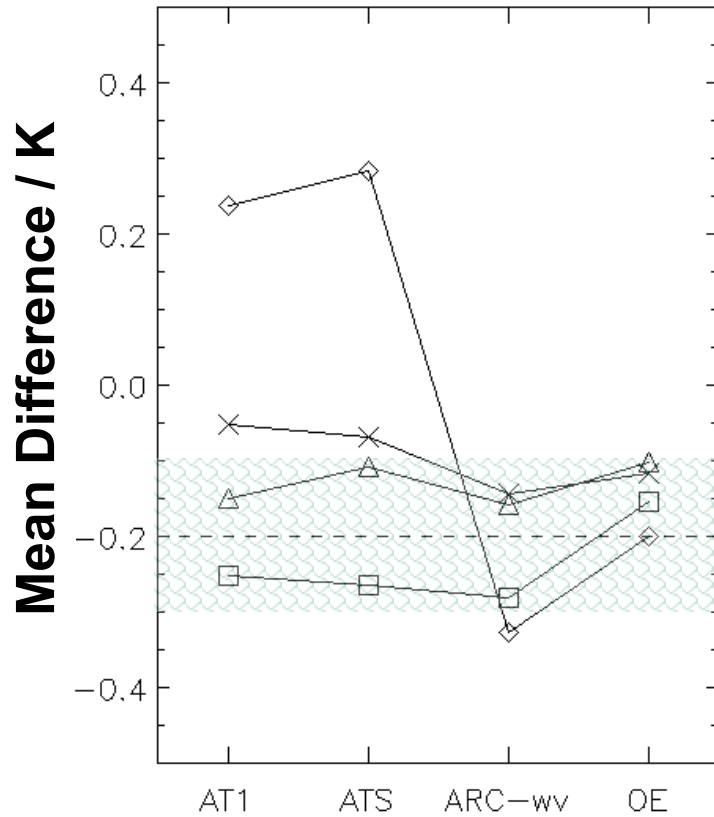
**User-driven products**

**At high spatial resolution**

# Three (four?) generations of SST retrieval

- First: empirical regression to buoys
  - Not at all independent
- Second: regression to physical modelling
  - Apparently independent – but not really
  - Can have significant dependence on climatology
- Third: joint optimal estimation of SST & WV
  - Can be truly independent
  - (Or can choose to minimize retrieval error)
- Fourth: joint OE of SST-WV-cloud-aerosol?

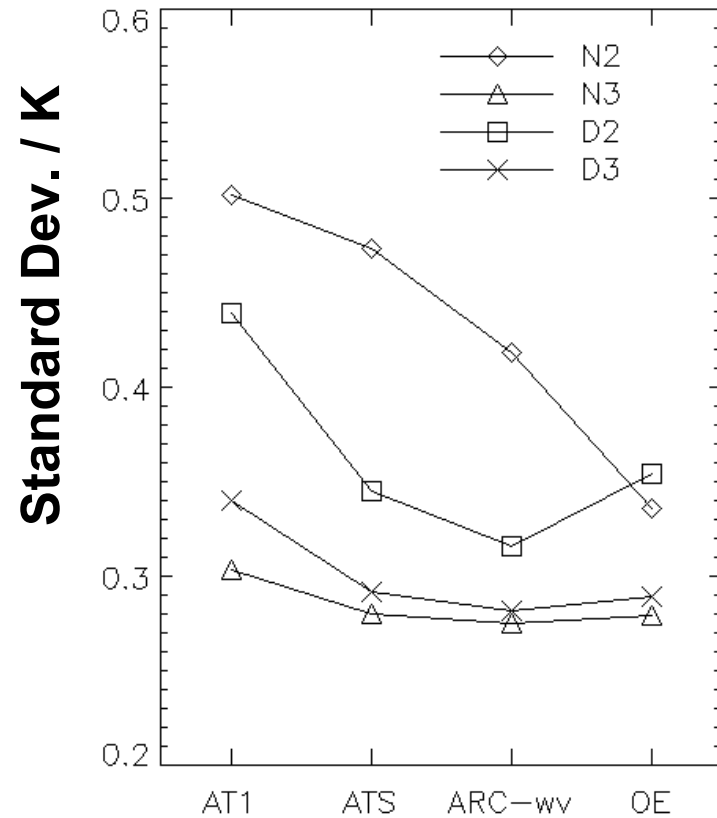
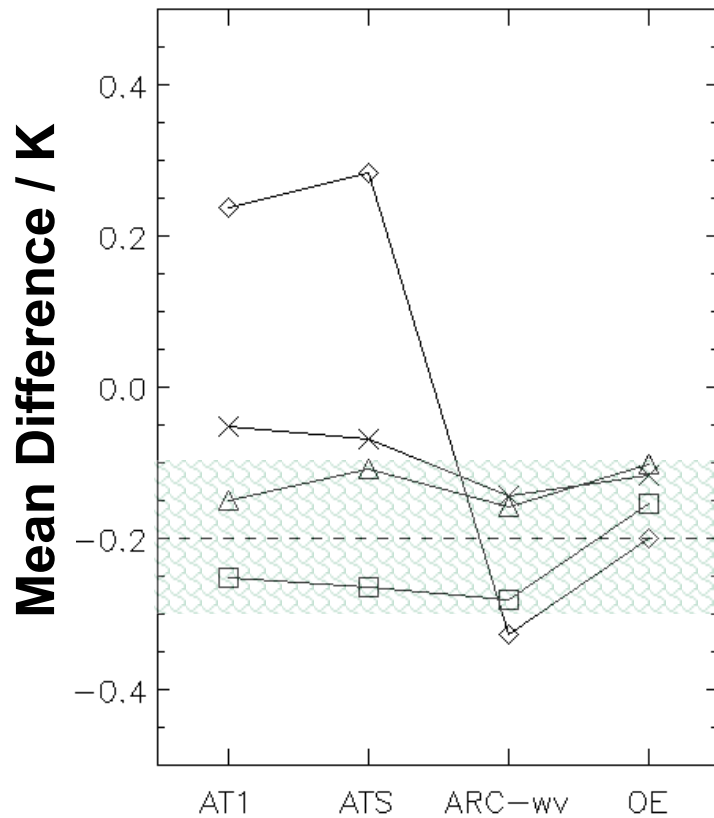
# (A)RC AATSR v. drifters



Operational coefficients  
+ 12  $\mu\text{m}$  correction, forward view offset



# (A)RC AATSR v. drifters



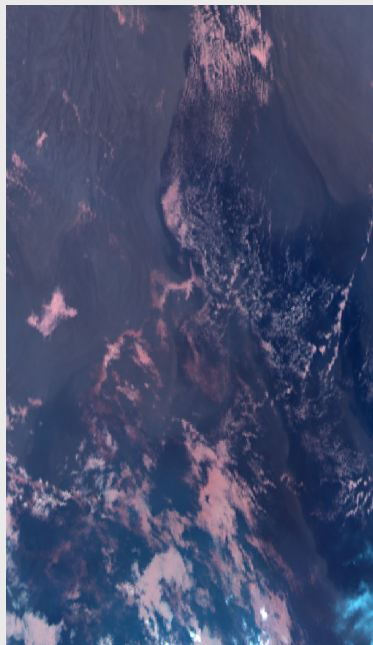
Operational coefficients, 12 um correction,  
forward view offset + **Bayesian cloud detection**

# Summary for “ARC-wv”

- 5x5 pixels colocated with buoy where:
  - Night-time, more than 1 clear-sky pixel
- ARC linear retrieval with TCWV bands
- Subskin-Skin correction from Donlon et al. 2002

	N2	N3	D2	D3
Bias	-0.060	0.085	-0.045	0.092
Noise	0.397	0.262	0.296	0.271

## Sensor & channels characteristics



Imagery

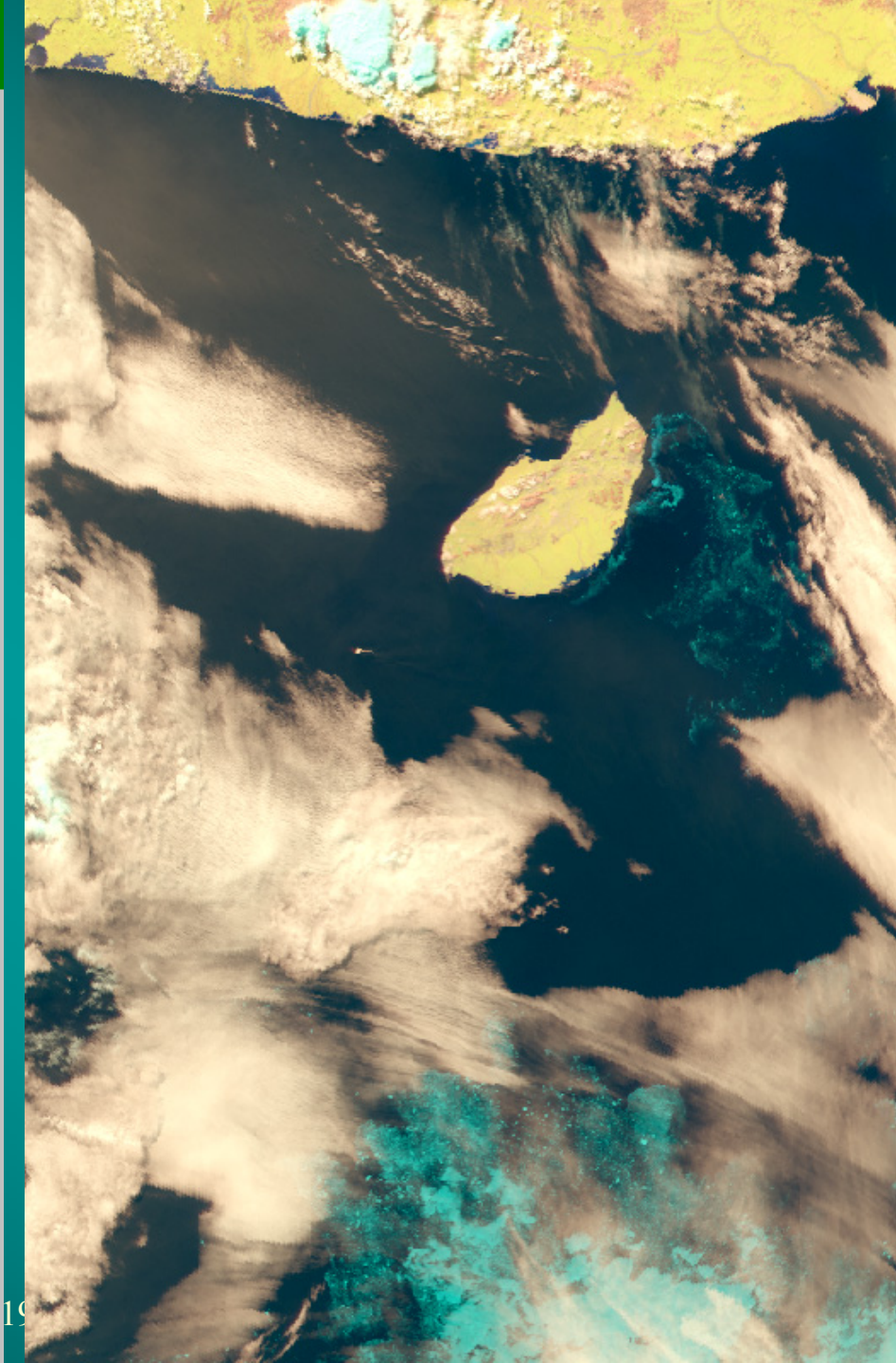
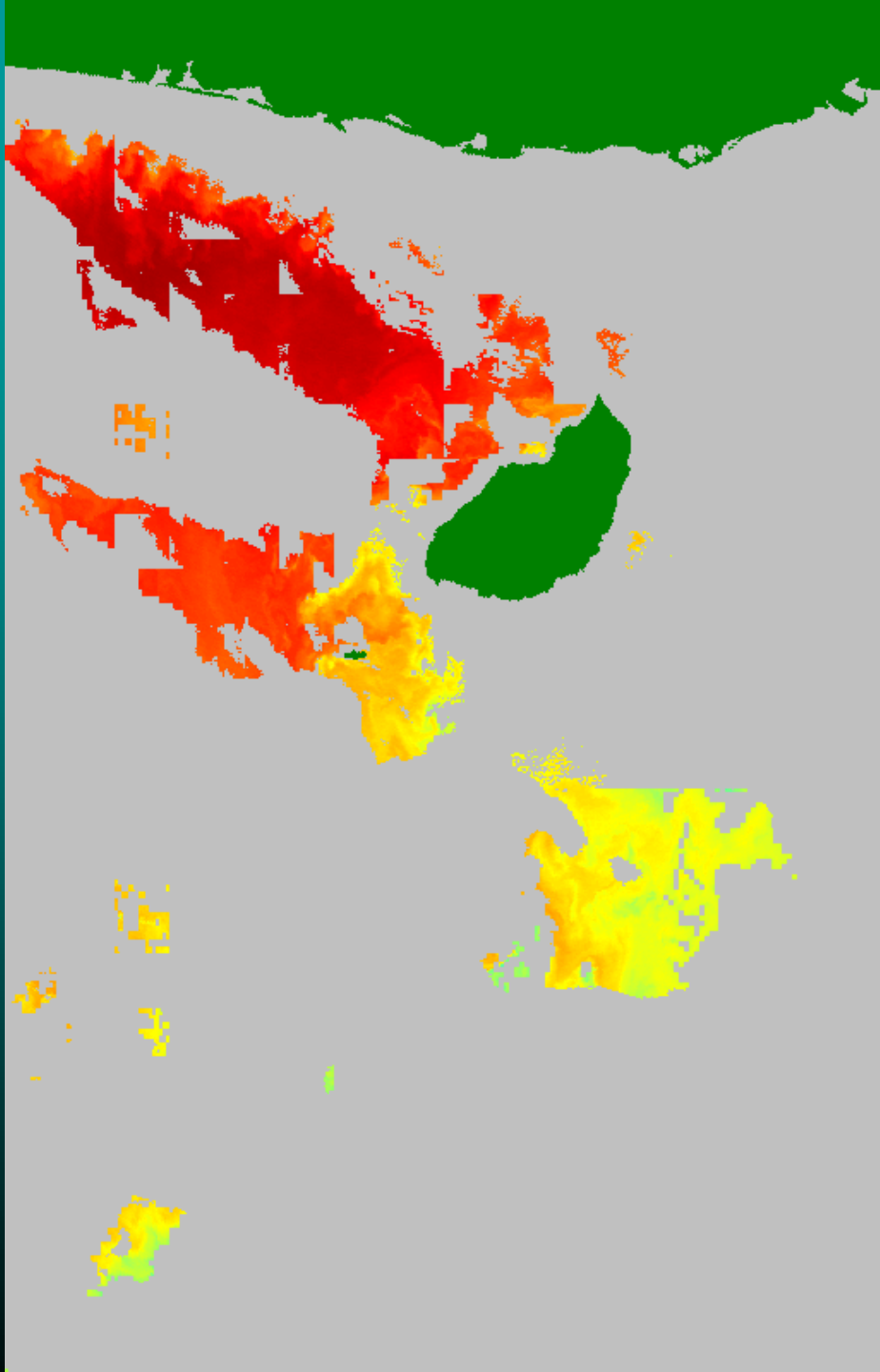
Merchant, Harris  
et al., *Quat J*  
*Royal Met Soc*,  
131, 2735ff, 2005

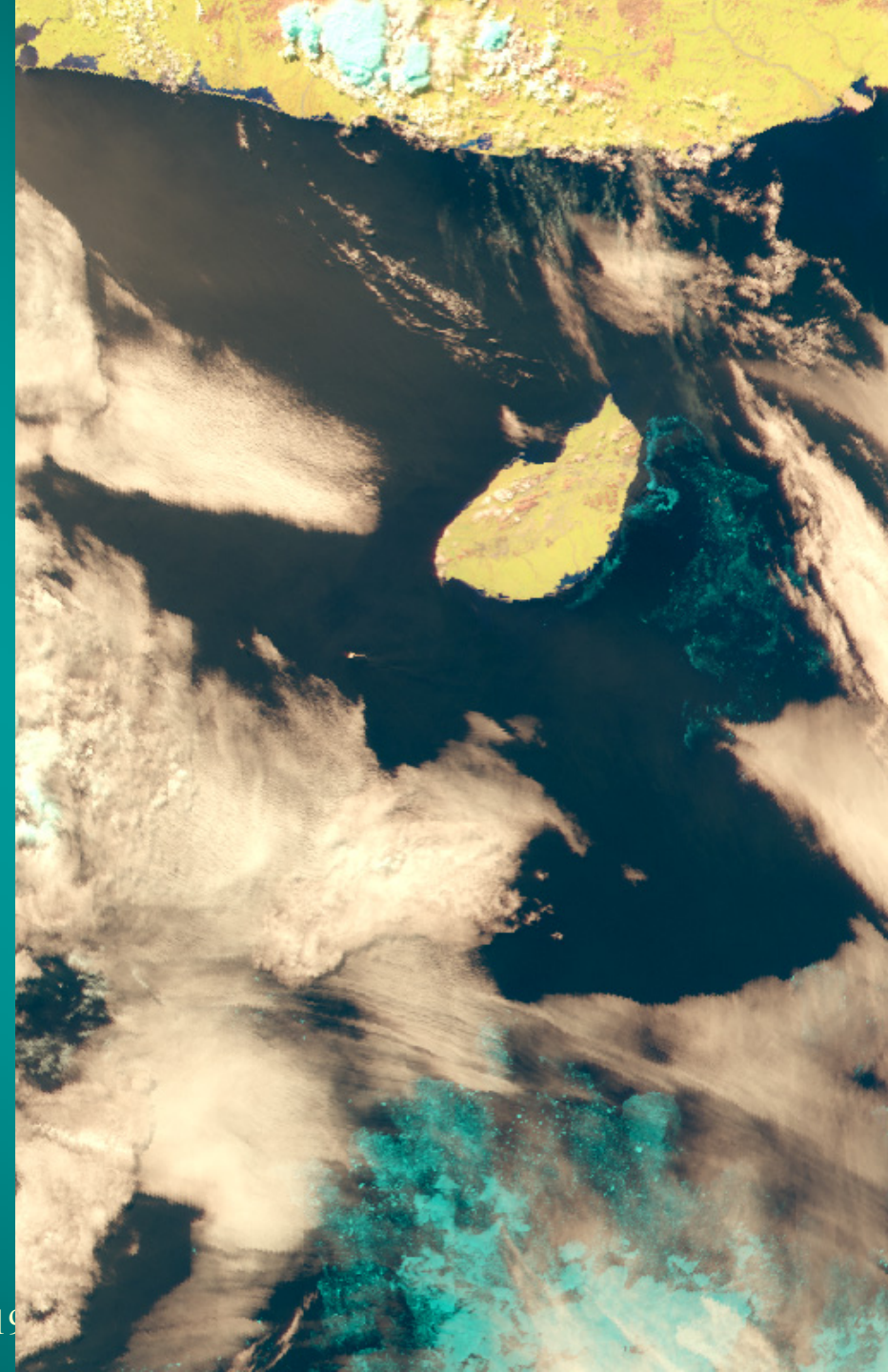
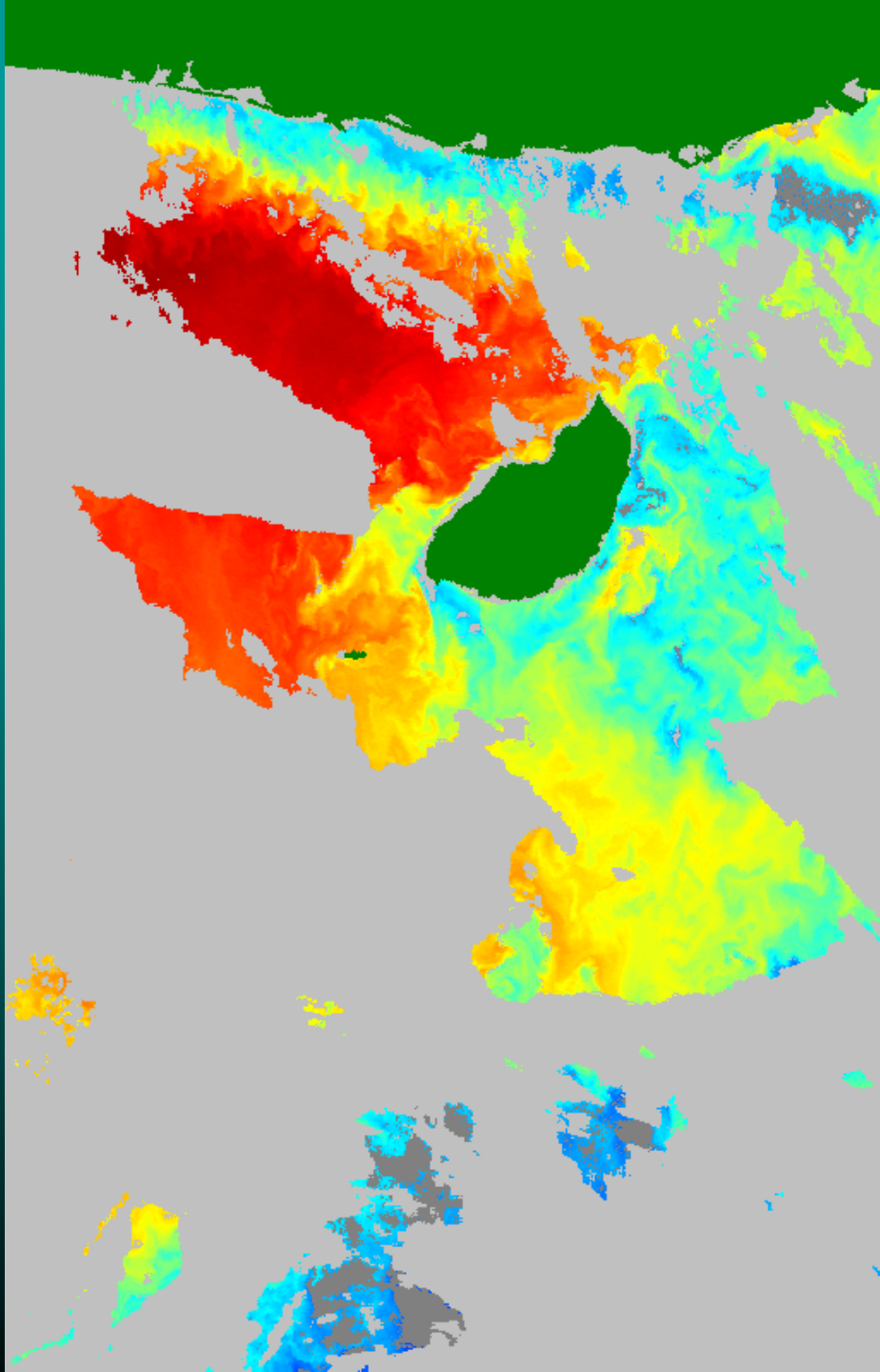
Calculate probability  
each pixel is clear



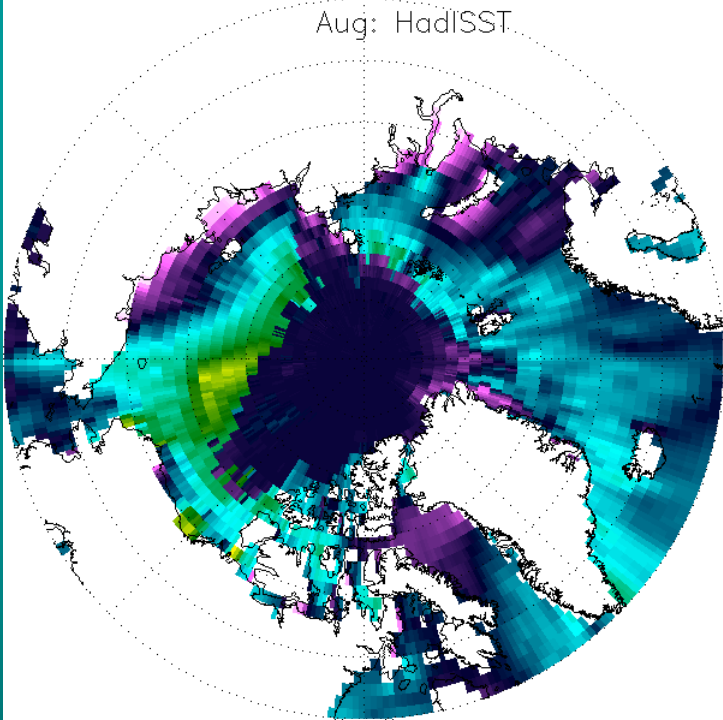
Cloud mask  
 $P > \text{threshold}$

**NWP FIELDS + ERRORS  
+ FORWARD MODEL FOR SENSOR + NOISE**

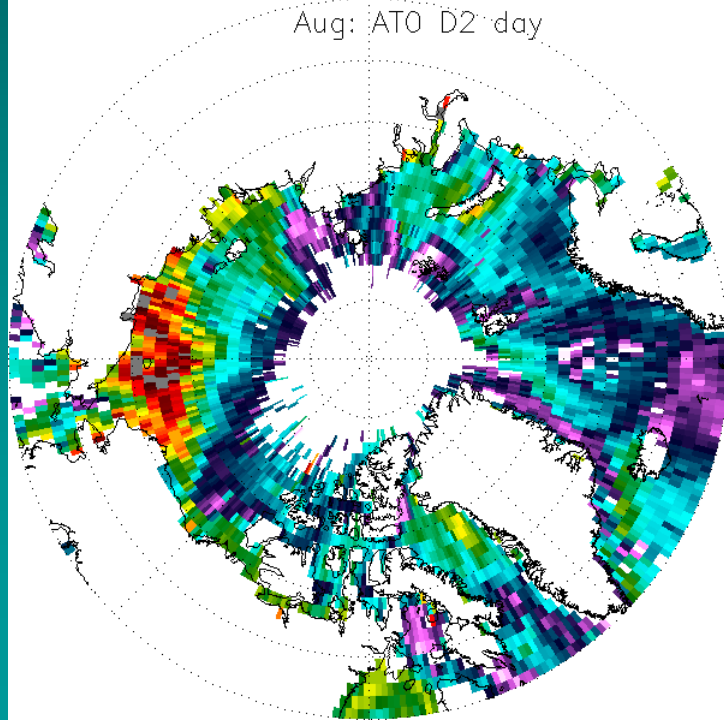




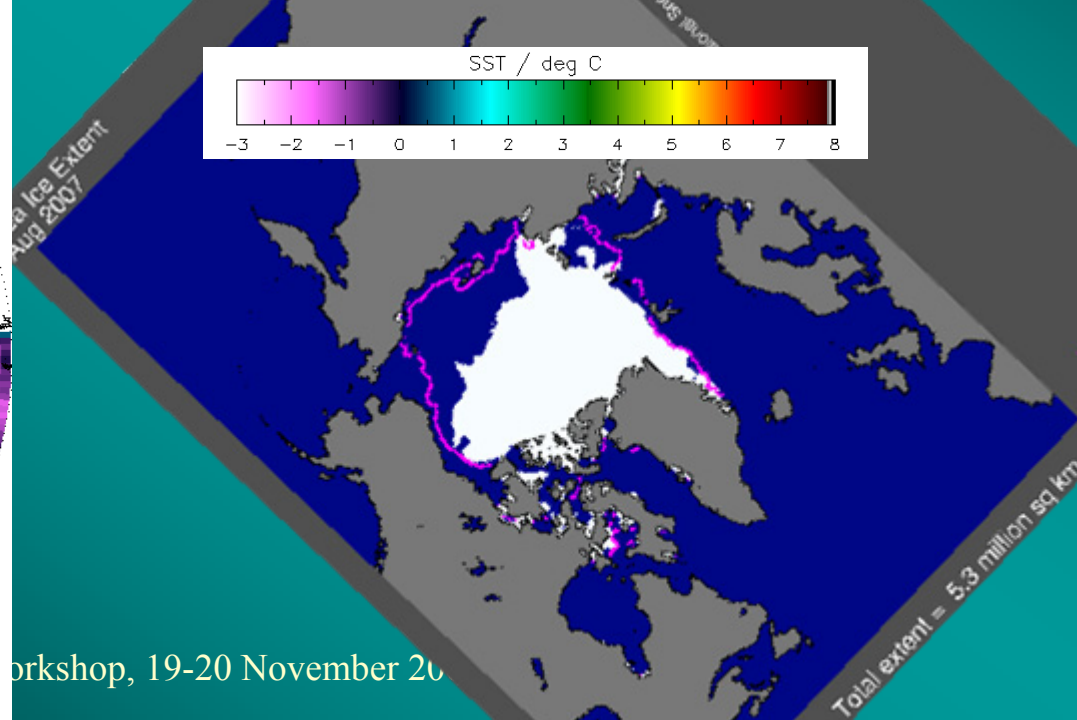
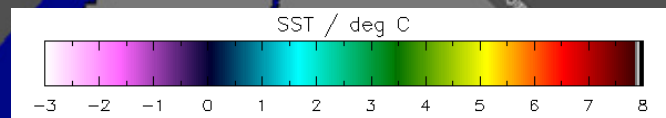
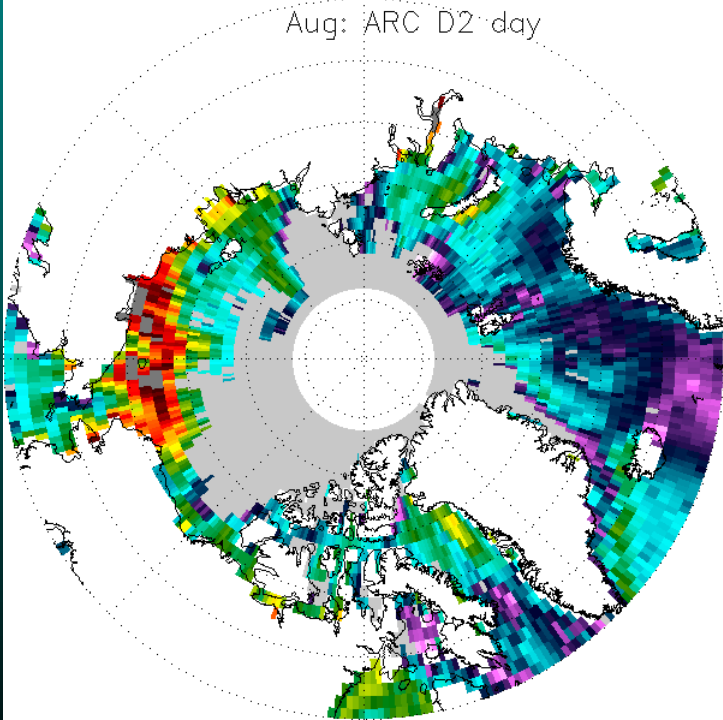
Aug: HadISST



Aug: AT0 D2 day



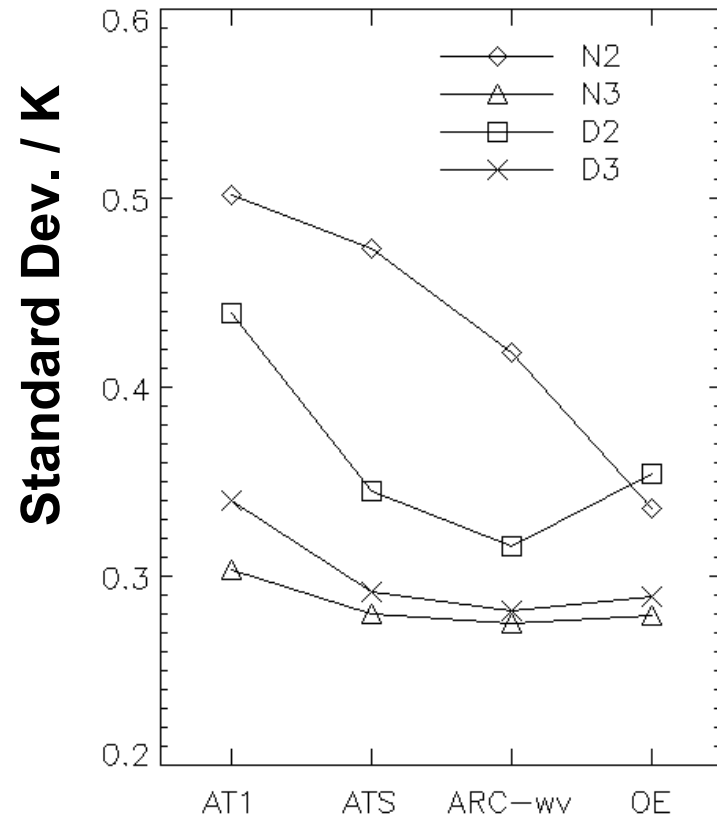
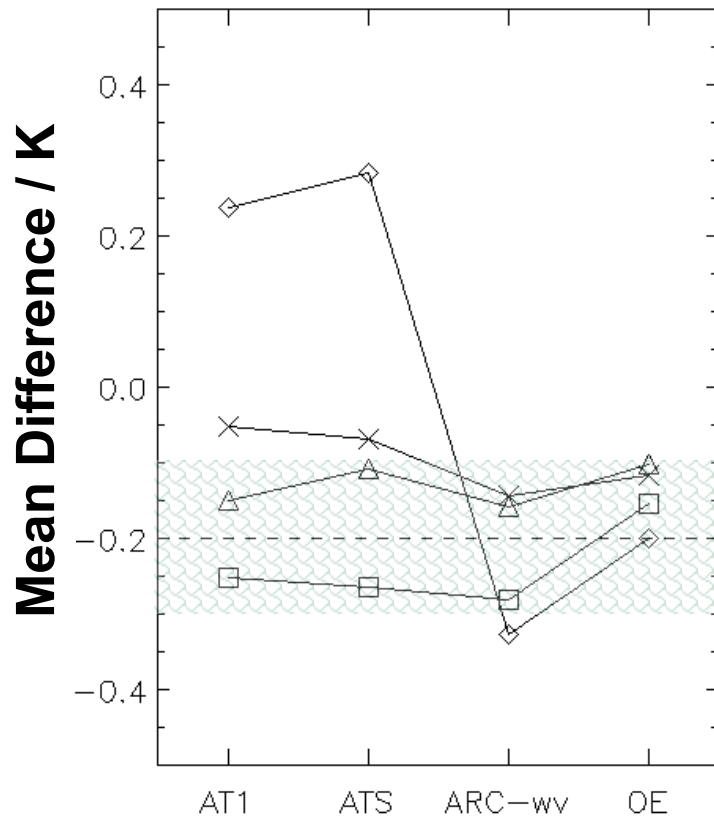
Aug: ARC D2 day



Workshop, 19-20 November 20

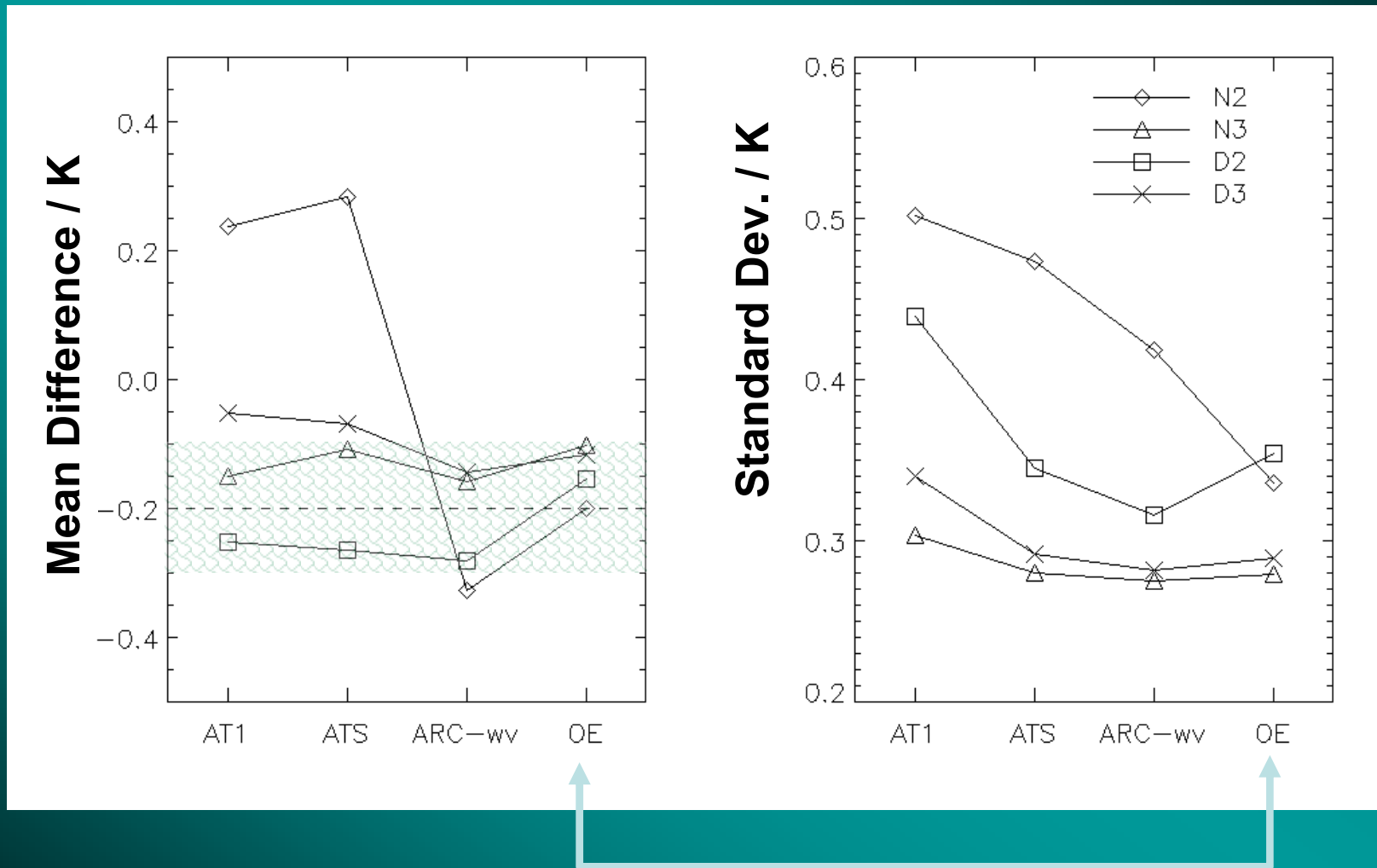
Total extent = 5.3 million sq km

# (A)RC AATSR v. drifters



**New coefficients, 12 um correction,  
forward view offset, Bayesian cloud detection**

# (A)RC AATSR v. drifters



**Optimal estimation (aka physical retrieval, MAP)**

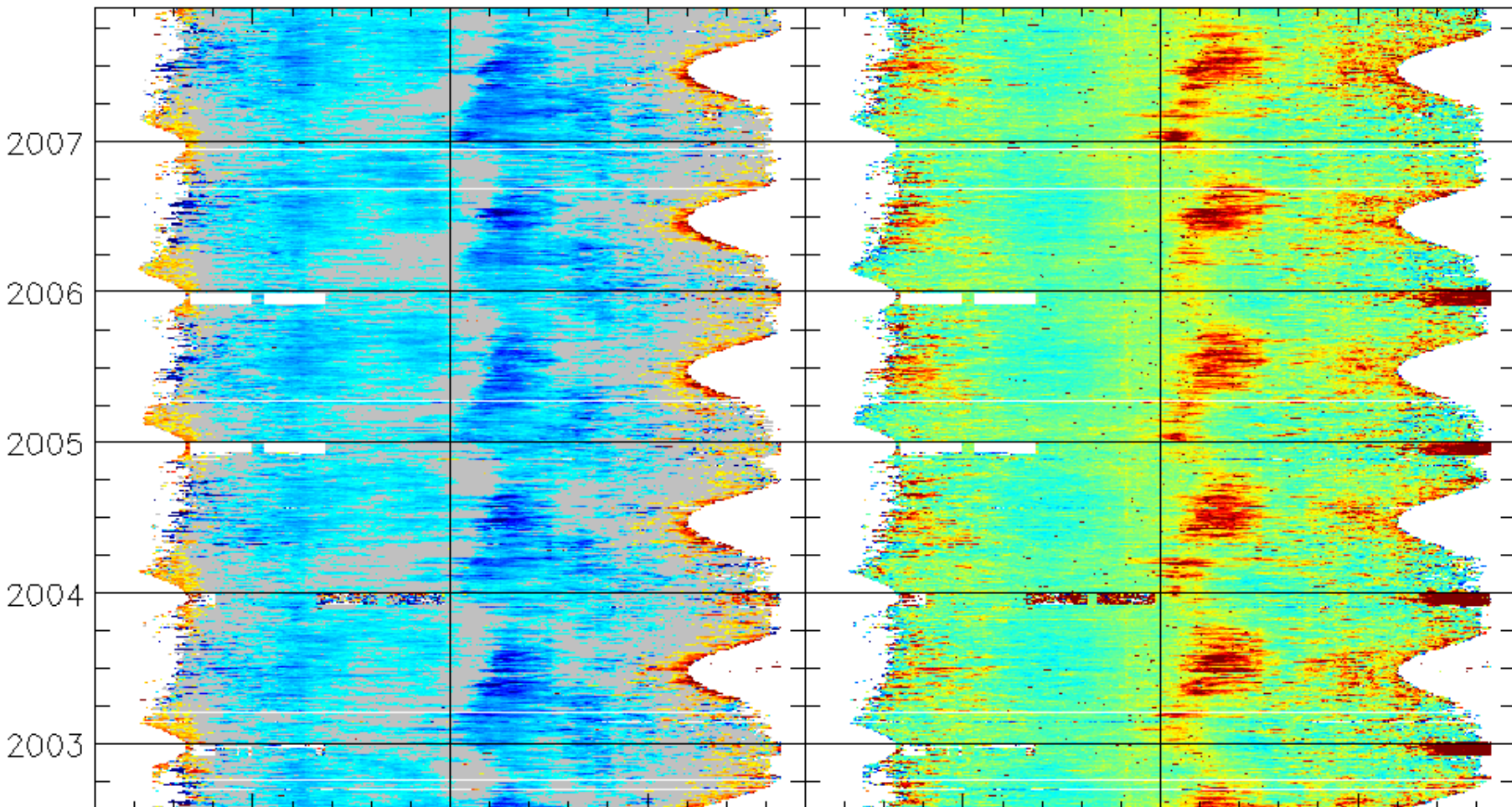
12 um correction, forward view offset, Bayesian cloud detection



# AATSR Operational: N3 – D3

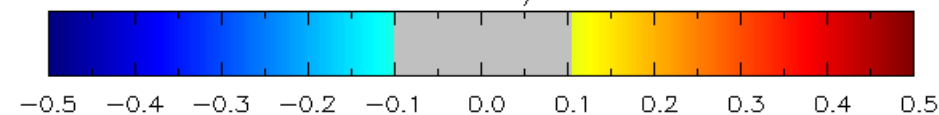
Bias

Std. Dev



SST diff. / K

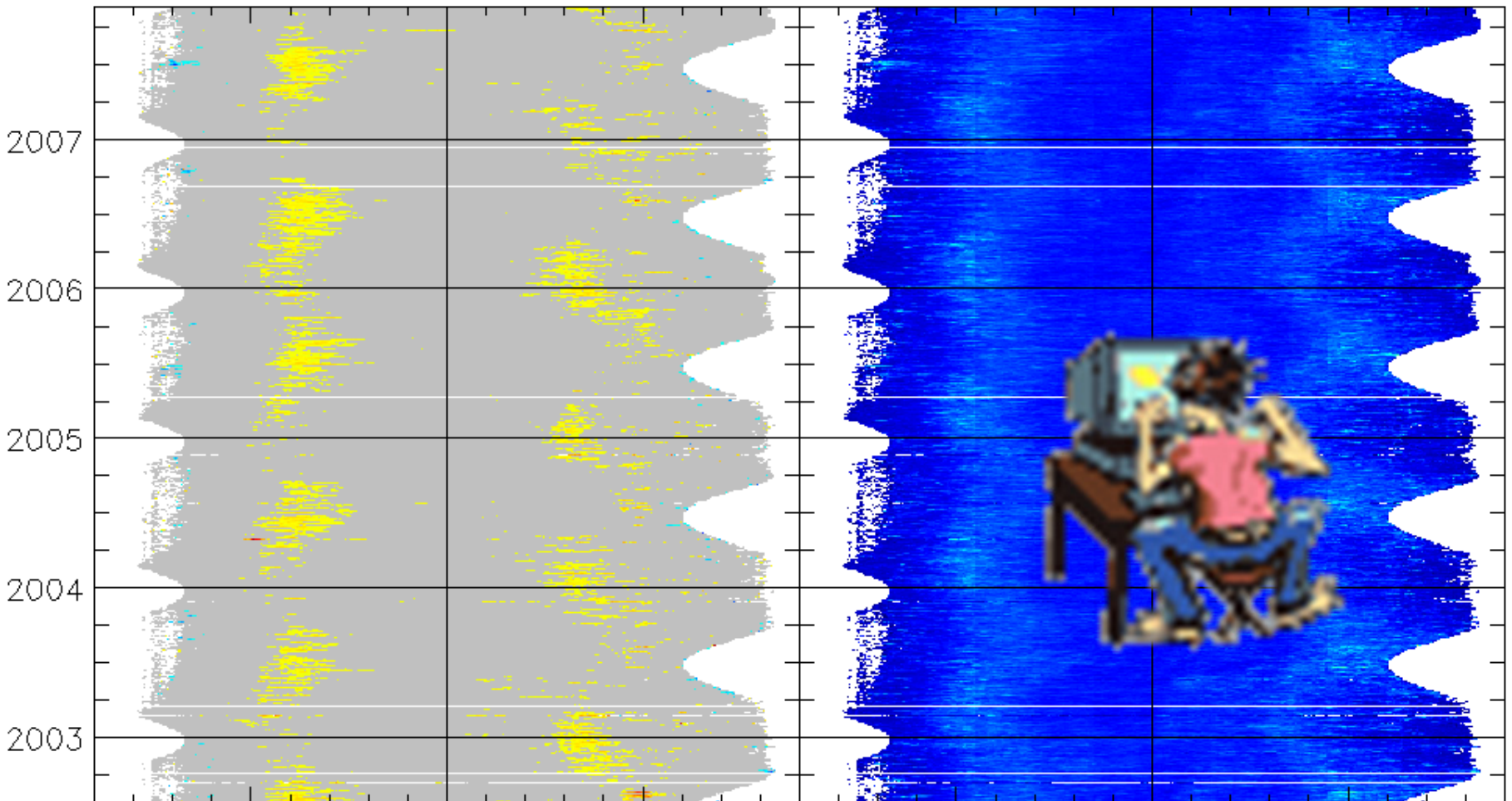
Standard Deviation



# (A) RC experimental OE: N3 – D3

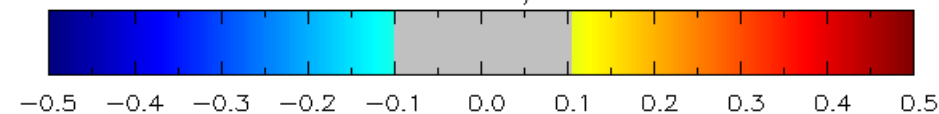
Bias

Std. Dev



Latitude  
SST diff. / K

Latitude  
Standard Deviation

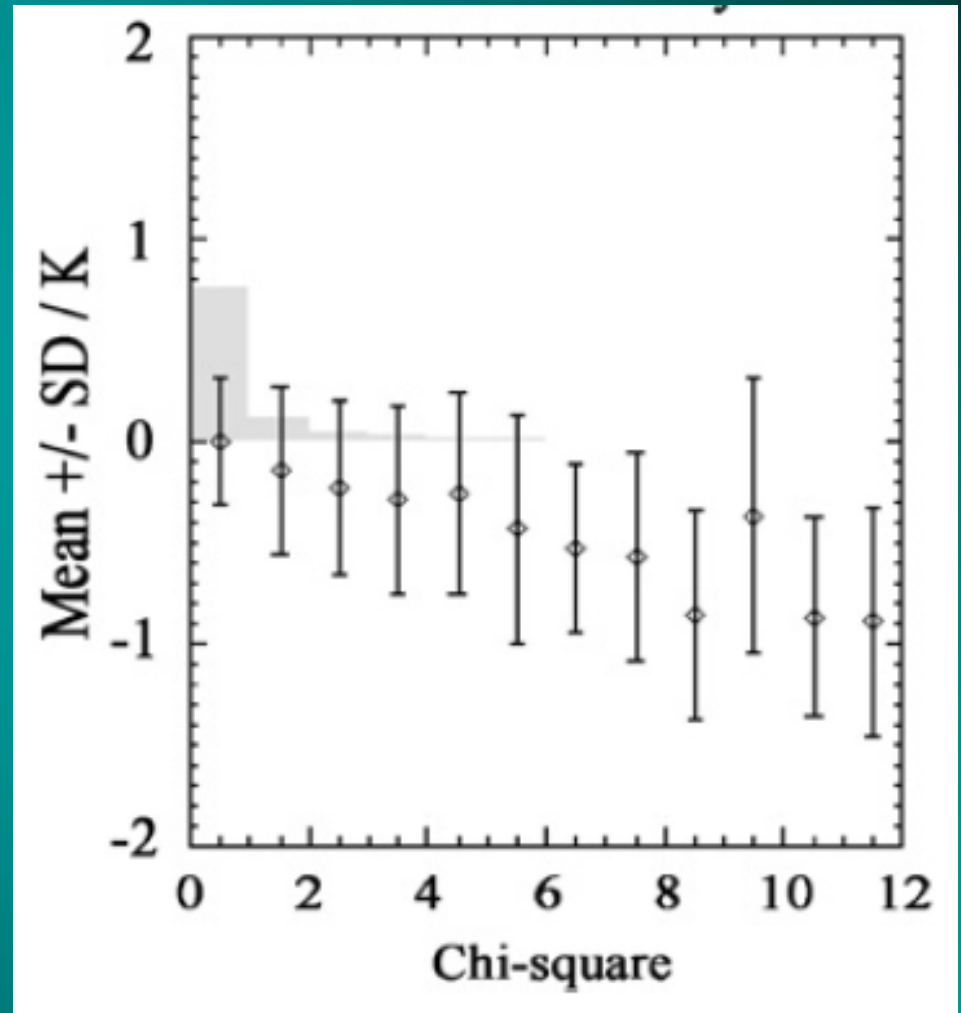


# OE and error characteristics

Example of Metop  
SST by OE

Chi-square metric  
emerges from OE  
and is powerful  
quality indicator

Best 75% of data  
has 0.0 K bias  
and SD 0.25 K



# How to get there? Reprocessibility

# Reprocessibility

- SST as ECV requires
  - homogeneity and stability** across missions
- New instruments & chains
  - always** spring surprises
- Radiative transfer, cloud detection and retrieval techniques
  - continue to **improve**

# Reprocessibility

IMPLIES

Reprocessing will be needed

Build in “reprocessibility” from the beginning

# Example: (A)ATSR / SLSTR

- SLSTR-format archive at S3 launch of ATSR/ATSR-2/AATSR
- Populated in NRT for SLSTR and AATSR during *joint* cal-val phase
- Homogeneity analysis: significant supported activity built-in to cal-val phase
- Auxiliary data to support third-generation techniques embedded in L1b
- GPoD-like capability including RT models

# A challenge

Time from ATSR launch to (A)RC SST record:  
18 YEARS

For Sentinel-3, <18 MONTHS?



# How to get there? Threats to success

# No overlap?

- Envisat mission extension to end 2013
- Sentinel 3 launch end 2012
- Give up on independence for gap period?
  - Use drifter network as transfer standard
- Tie to one or more other sensors
  - E.g., METOP as transfer
  - Reprocessibility – METOP in the (A)ATSR/SLSTR archive?
  - Study this now



Could be very unlucky ...

Stratospheric aerosol

+ no dual view IR

+ no passive MW



# How to get there?

## System of sensors, resilience

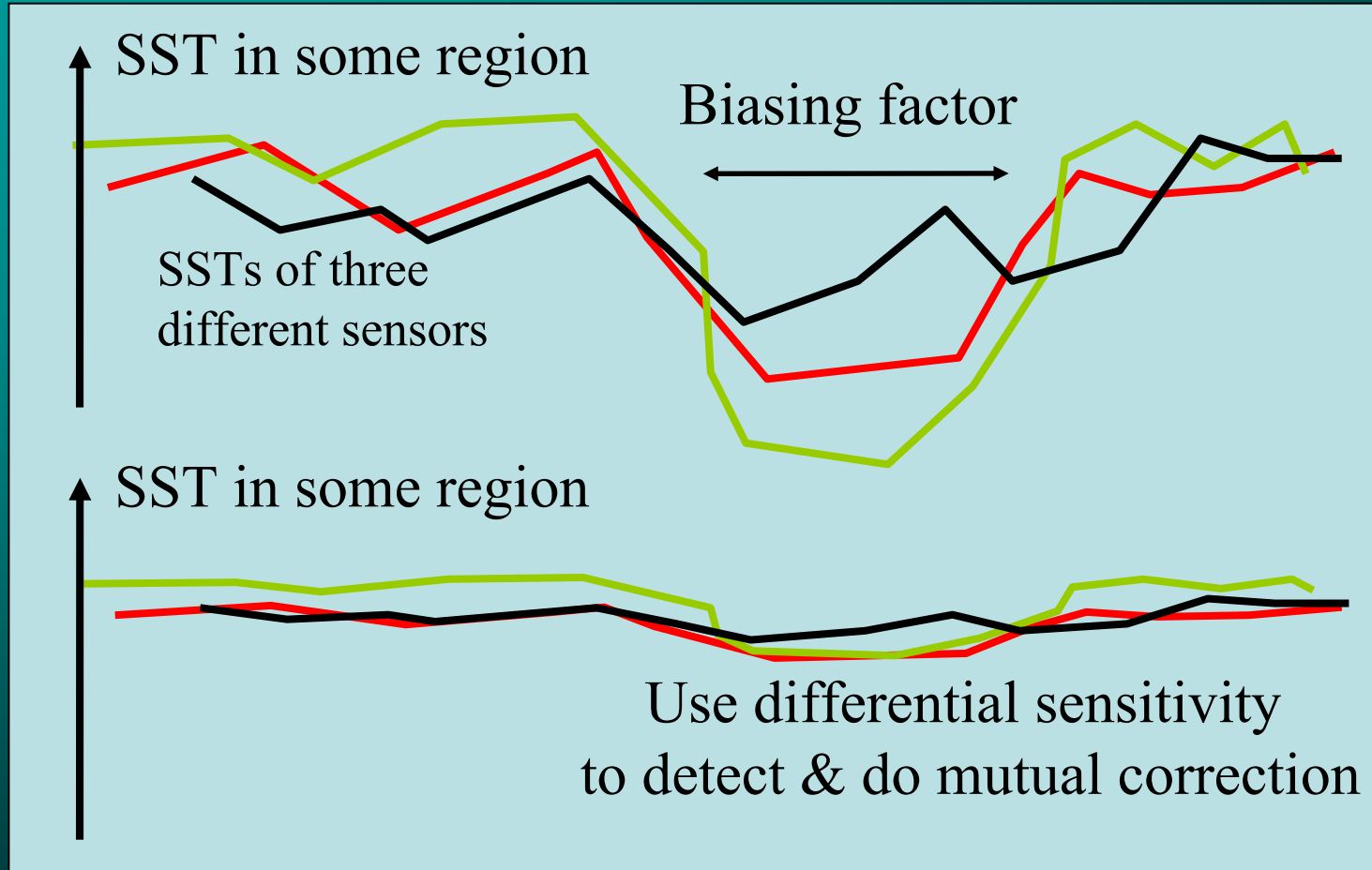
# A system of sensors

- Only (A)ATSR is capable for SST CDR in their own right
- We have many SST sensors in space
- AATSR SSTs used as reference SSTs
- Could do much better in coming decade

# (A) ATSR/SLSTRs as reference sensors

- Bring observing system towards ECV-CDR quality
- Inter-characterisation of SSTs
- Inter-characterisation of BTs/retrieval
- Mutual correction evolving in time, regional
  - Physics of calibration
  - Physics of retrievals and their biases
  - Multi-sensor match-up databases
  - Consolidated SST/BT

# Mutual correction



# Resilience

- Ideally, go beyond privileging a reference sensor
- Treat all on basis of their error characterisation
- System is resilient to any one sensor being absent
- Need to learn how to do this
  - In principle
  - In operational practice
- Act now while a “reference” sensor is available!



# SST as ECV in coming decade

<u>What could be achieved for SST ECV</u>	<u>How to do it</u>
<p>CDR from SLSTRs, &lt;18 mnths + ongoing</p> <p>Timeliness</p> <p>Homogeneity with (A)ATSRs</p> <p>Independence, error-characterisation</p>	<p>Built-in reprocessibility</p> <p>Homogeneity in (joint) cal-val</p> <p>Third-generation techniques</p>
<p>Ongoing SST CDR provision</p> <p>(Benefit to operations)</p>	<p>New techniques into operations</p>
<p>Exploit system of sensors for SST CDR</p> <p>Bridge Envisat-S3 gap</p> <p>(A)ATSR / SLSTR as reference</p> <p>Resilience to absence of reference</p>	<p>Reprocessibility with 3<sup>rd</sup> party</p> <p>Inter-characterisation of BT/SST</p>
<p>Broaden community capability</p>	<p>Processing at archive</p>

